

10/24/2005

17:18

GREENBERG TRAURIG → 260#011600#915712738300

NO.596 0001

**Greenberg
Traurig****RECEIVED
CENTRAL FAX CENTER**

OCT 24 2005

Transmittal Cover Sheet**From:**
E. Nair Flores**Tel:**
310.586.6511**E-Mail:**
floresn@gtlaw.com

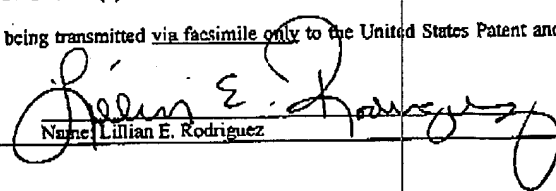
To:	Fax No:	Company:	Phone No.:
Examiner (to be assigned)	571.273.8300	United States Patent and Trademark Office Mail Stop Missing Parts	

File 58260-011600
No.:**Re:** U.S. Patent Application No. 10/528,444**Date:** October 24, 2005**No.** Including Cover Sheet **21**
Pages:If you do not receive all pages properly, please call the Sender.**Notes:****Attachments:**

- 1) Fee Calculation Transmittal Form;
- 2) Response to Notice to File Missing Parts of Non-Provisional Application with authorization to charge deposit account 50-2638 surcharge fee in the amount of \$65.00;
- 3) Copy of Notification of Missing Requirements Under 35 U.S.C. 371...;
- 4) Preliminary Amendment
- 5) Executed Declaration of Inventors for Utility Patent Application;
- 6) Executed Power of Attorney and Correspondence Address Indication Form.

CERTIFICATE UNDER 37 CFR 1.6(d) and 1.8

I hereby certify that this correspondence and identified attachments are being transmitted via facsimile only to the United States Patent and Trademark Office, Facsimile No. (571) 273-8300 on October 24, 2005.


 Name: Lillian E. Rodriguez

Also sent via:

☐ US Mail☐ Overnight☐ Messenger☐ Email☒ No Other

The information contained in this transmission is attorney privileged and confidential. It is intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone collect and return the original message to us at the address below via the U.S. Postal Service. We will reimburse you for your postage. Thank you.

2450 Colorado Avenue, Suite 400 E, Santa Monica, California 90404 Phone: 310.586.7700 Fax: 310.586.7800

BEST AVAILABLE COPY

**RECEIVED
CENTRAL FAX CENTER**

OCT 24 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dayuan Wang, et al. Examiner: (to be assigned)
Serial No. 10/528,444 Group Art Unit: (to be assigned)
Filed: March 18, 2005 Docket No. 58260-011600
Title: DERIVATIVES OF TRIPTOLIDE HAVING A HIGH IMMUNO-
SUPPRESSIVE EFFECT AND HIGH WATER SOLUBILITY, AND USES
THEREOF
Customer No.: 33717

CERTIFICATE UNDER 37 CFR 1.6(d)

I hereby certify that this correspondence and identified enclosures are being transmitted via facsimile only to Facsimile No. (571) 273-8300 on
October 24, 2005.

Name: Lillian E. Rodriguez

FEE TRANSMITTAL

MAIL STOP: AMENDMENT
Commissioner for Patents
Post Office Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Facsimile Cover Sheet;
- ☒ Preliminary Amendment;
- ☒ Response to Notice to file Missing Parts of Non-Provisional Application;
- ☒ Copy of Notification of Missing Requirements Under 35 CFR 371;
- ☒ Executed Declaration and Power of Attorney of inventors;
- ☒ Authorization to charge deposit account 50-2638 in the amount of \$540.00 (for the surcharge fee and presentation of additional claims.

Application No. 10/528,444

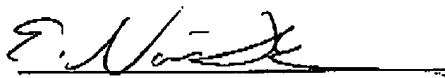
PATENT
Docket No. 58260-011600**ADDITIONAL CLAIM FEES**

Claims Remaining After Amendment		Highest Number Previously Paid For		Present Extra		Rate		Fee
Total Claims								
36	-	21	=	15	x	25.00	=	375.00
Independent Claims								
4	-	3	=	1	x	100.00	=	100.00
Late Oath Declaration Surcharge fee								65.00
Subtotal								540.00
TOTAL FILING FEE								0

The Director is authorized to charge any additional fee(s) or any underpayment of fee(s), or to credit any overpayments to Deposit Account Number 50-2638. Please ensure that Attorney Docket Number 58260-011600 is referred to when charging any payments or credits for this case.

Respectfully submitted,

Date: October 24, 2005


Eglia Nair Flores
Reg. No. 54,103

Customer Number 33717
GREENBERG TRAURIG, LLP
2450 Colorado Avenue, Suite 400E
Santa Monica, CA 90404
Phone: (310) 586-6511
Fax: (310) 586-7800
E-mail: floresn@gtlaw.com

LA-FS1367980v01


Application No. 10/528,444

PATENT
Docket No. 58260-011600

Authorization is hereby given to charge **\$65.00** and or any additional fees or credit overpayment to **Deposit Account No. 50-2638**. Please reference Attorney Docket Number 58260-011600 when charging any payments or credits in connection with this application.

Respectfully submitted,

Date: October 24, 2005


E. Nair Flores
Reg. No. 54,103

Customer Number 33717
GREENBERG TRAURIG, LLP
2450 Colorado Avenue, Suite 400E
Santa Monica, CA 90404
Phone: (310) 586-6511
Fax: (310) 586-0271
E-mail: floresn@gtlaw.com

10/27/2005 LLANDGRA 00000076 502638 10528444

01 FC:2617 65.00 DA

**RECEIVED
CENTRAL FAX CENTER**

OCT 24 2005

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Dayuan Wang, et al. Examiner: to be assigned
Serial No.: 10/528,444 Group Art Unit: to be assigned
Filed: March 18, 2005 Docket No. 58260-011600
Title: DERIVATIVES OF TRIPTOLIDE HAVING A HIGH
IMMUNOSUPPRESSIVE EFFECT AND HIGH WATER SOLUBILITY, AND
USES THEREOF
Customer No.: 33717

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence and identified enclosures are being transmitted via facsimile only to (571) 273-8300 on October 24, 2005.

Name: Lillian E. Rodriguez

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

MAIL STOP: MISSING PARTS
Commissioner for Patents
Post Office Box 1450
Alexandria, Virginia 22313-1450

Sir/Madam:

We are transmitting herewith the attached:

- ☒ Transmittal sheet containing Certificate of Mailing Under 37 CFR 1.8;
- ☒ Preliminary Amendment;
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application dated September 1, 2005;
- ☒ Executed Declaration of inventors for Utility Patent Application;
- ☒ Executed Power of Attorney and Correspondence Address Indication Form;



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/528,444	Dayuan Wang	58260-011600/US

INTERNATIONAL APPLICATION NO.

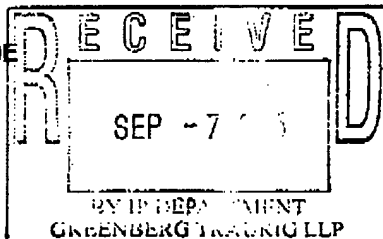
PCT/CN03/00748

LA. FILING DATE	PRIORITY DATE
-----------------	---------------

09/04/2003

09/18/2002

Greenberg Traurig
2450 Colorado Avenue, Suite 400E
Santa Monica, CA 90404



CONFIRMATION NO. 4302
371 FORMALITIES LETTER
OC000000016927440
OC000000016927440

Date Mailed: 09/01/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/18/2005
- Copy of the International Search Report filed on 03/18/2005
- Preliminary Amendments filed on 03/18/2005
- Request for Immediate Examination filed on 03/18/2005
- U.S. Basic National Fees filed on 03/18/2005
- Priority Documents filed on 03/18/2005

GT-LA
DUE DATE: 11/1
DEADLINE: 4/1/06
DOCKETED: 9/1
BY (INITIALS): CL

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and International filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/528,444	PCT/CN03/00748	58260-011600/US

FORM PCT/DO/EO/905 (371 Formalities Notice)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.